

## North Korea designated entity sanctions evasion

### Institutions

- Government ministries and departments
- Intelligence agencies
- Units of the armed forces
- Party organs, committees, or bureaus
- National offices and administrations
- National academies and research institutes

### Strategic and military activities

- Procurement of nuclear-related items
- Procurement and sale of ballistic missile-related items
- Procurement and sale of military equipment

### State companies

- Financial services (banks, insurance)
- Shipping companies
- Trading in sanctioned commodities such as coal, iron, oil, and petroleum products

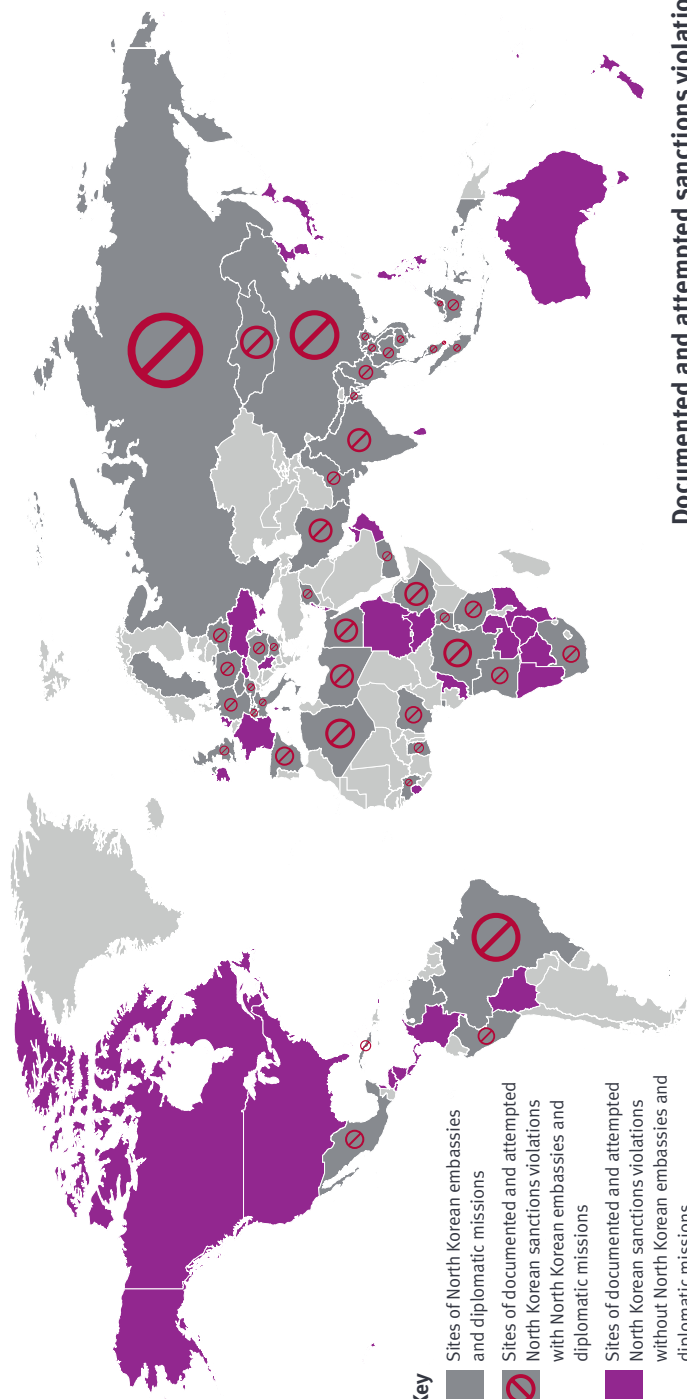
### Acting on behalf of designated entities

- Acting on behalf of entities designated by the UN and member states for asset freezes and travel bans

### Types of risk and documented locations

Type	Documented locations
Procurement and sale of nuclear-ballistic-missile, and chemical-related items	Asia, Europe, Middle East*
Sale and promotion of arms-related equipment and services	Africa, Asia, Middle East, North America
Acting on behalf of UN-designated entities	Africa, Asia, Europe, Middle East, South America
Procurement of luxury goods	Asia, Europe, North America
Commercial activities prohibited under the Vienna Conventions and other smuggling activities	Africa, Asia, Europe
Facilitating the sale of sanctioned commodities such as coal, iron, iron ore	Africa, Asia, Europe, Middle East
Involvement in prohibited financial activities	Asia

\* The Middle East and Asia are referred to here as two distinct entities since they represent different markets for prohibited North Korean goods and services. The 'Middle East' roughly corresponds geographically to countries in Western Asia.



**Key**

- Sites of North Korean embassies and diplomatic missions
- Sites of documented and attempted North Korean sanctions violations with North Korean embassies and diplomatic missions
- Sites of documented and attempted North Korean sanctions violations without North Korean embassies and diplomatic missions

## Supporting Effective Implementation of United Nations Sanctions on North Korea: Risk Typology



The following products and services have been found to have been manufactured and exported or otherwise supplied or offered by North Korean overseas brokers, North Korean diplomatic channels, or designated entities.

Therefore, within the context of the United Nations Security Council Resolutions (UNSCRs), the sourcing or import of such products should be subject to appropriate levels of due diligence.



## North Korea arms embargo violations

### Exports (from North Korea)

#### Ammunition

- 7.62 mm and 9mm side arm ammunition
- 7.62 × 39 mm and 7.62 × 54 mm assault rifle ammunition
- 12.7 mm and 7.62 mm machine gun ammunition

#### Small arms

- 7.62 mm and 9mm side arms
- 7.62 × 39 mm and 7.62 × 54 mm assault rifles
- 7.62 × 39 mm Dragunov-type sniper rifles

#### Light weapons

- 7.62 × 54 mm PKM-type light machine guns
- 12.7 mm heavy machine guns
- Multi-barrelled grenade launchers
- SA-16 Igla-type man-portable air defence systems (MANPADS)
- Type-9 rocket-propelled grenades (RPGs) and launchers
- 60 mm and 120 mm mortars

#### Munitions

- Malyutka anti-tank missiles
- Grenades
- Aerial bombs

#### Conventional arms

- SA-3 Pechora-type surface-to-air missiles (SAMs)
- 300 mm multiple rocket launchers
- 122 mm multiple rocket launchers
- MIG 21 jet fighters
- Patrol boats
- Submarines

#### Tracked and towed weapons

- 130 mm towed field guns
- T-55, T-62-type tanks

#### Ballistic missile-related items

- Scud-type ballistic missiles, components, and technical assistance

#### Other military equipment

- Tactical vests
- Encrypted tactical communications systems
- Gas masks and chemical warfare protective clothing, equipment, and vehicles
- Air defence radar systems

#### Repair services

- Tank, air defence, submarine, and patrol boat repair services

#### Imports (to North Korea)

- Nuclear-related items
- Ballistic missile-related dual-use and commercial items
- Controlled or sensitive goods used for advanced military equipment projects in North Korea and overseas

#### Security assistance cooperation (with North Korea)

##### Training

- Presidential Guard
- Army special forces
- Paramilitary/special police
- Electronic surveillance
- Tank crew
- Helicopter gunnery
- MIG-21 jet fighter

##### Construction

- Construction of military camps, Ministry of Defence buildings, and intelligence and security service headquarters

## North Korea sanctions violations through diplomatic channels

### Procurement and sale of weapons of mass destruction

- Procurement and sale of nuclear-related items, ballistic missile-related items, and chemical-related items

### Sale and promotion of conventional weapons

- Sale and promotion of conventional weapons, small arms, and light weapons

### Training

- Sale and promotion of military training and technical cooperation

### Sale and promotion of other services

- Sale and promotion of ballistic missile and military equipment repair/upgrade services
- Sale and promotion of (military) radar systems, systems upgrades, and training
- Sale and promotion of (military) communications systems

### Acting on behalf of designated entities

- Acting on behalf of entities that have been designated by the UN and member states as subject to an assets freeze and travel ban

### Luxury goods

- Procurement of luxury goods, including but not limited to jewellery, watches, recreational sports equipment, and certain transportation items\*

### Commodities

- Facilitating the sale, trans-shipment, and export of sanctioned commodities such as coal and iron

### Financial and commercial activities

- Involvement in prohibited financial activities
- Prohibited commercial activities of UNSCR 2321 and forms of smuggling prohibited under the Vienna Conventions on Diplomatic and Consular Relations

\* See annexe IV of UNSCRs 2094, 2270, and 2321 for more.